

TITLE: "Virtual Reality Display Device"  
INVENTOR: Angus Duncan Richards  
DATE: June 24<sup>th</sup> 2001  
SPECIFICATIONS: 19 pages  
DRAWINGS: 19 pages  
PRIORITY DOCUMENT: 60/214251  
PRIORITY DATE CLAIMED: June 26<sup>th</sup> 2000

09892169-062501

# VIRTUAL REALITY DISPLAY DEVICE

## REFERENCE TO RELATED APPLICATION:

This application claims priority of US provisional patent 60/214251 titled "Virtual Reality Display Device" filed June 26, 2000 by Angus Duncan Richards.

5

## BACKGROUND OF THE INVENTION

### ~~BACKGROUND AND SUMMARY OF THE INVENTION:~~

The present invention relates generally to various arrangements of optical and electronic components to form a high resolution helmet mounted display device (HMD).

10

Helmet mounted display devices (HMD's) are rapidly becoming the standard display device for virtual reality and "Telepresence" applications. Such devices generally consist of one or more compact image displaying devices mounted on a helmet type frame that the viewer wears on their head. The said image displaying devices project images into the viewer's eyes via a series of lenses or mirrors so that the viewer perceives the image or images to originate from a source outside of the helmet. In the case of stereoscopic HMD's a separate image is presented to each of the viewer's eyes so that a three dimensional (3D) image can be formed. This 3D image has the additional reality of 3D depth cues such as stereo parallax (the differential shifting of objects within the image due to varying distance from the camera or other imaging source)

15

20

In addition to these depth cues, the viewer's perception that the "virtual" or "synthetic" world that is being presented by virtue of the HMD can be further enhanced by incorporating a tracking system on the HMD so that as the viewer moves their head (pitch, roll or yaw) the projected image moves in a corresponding manner such that it is consistent with the formation of images that would have been viewed had the viewer been moving in a similar way in the real world. The position of the viewer within the virtual world in terms of X,Y,Z (spatial coordinates) is also significant and is often utilized to change the projected images to be consistent with the viewer moving through

25

30

the virtual world. This type of movement is generally not controlled directly by tracking systems of the viewer or operator but more generally by virtue of a joystick, data glove, cyberpuck or other spatial positioning device.

sub a2/5  
At present, the preferred HMD display technology is utilizing compact colour LCD display panels. However monochrome LCD panels and monochrome and colour CRT (cathode ray tube) type displays have also been used to good effect.

10 The most important factors in the choice of the type of display technology chosen for an HMD is image quality and the compactness of the display.

sub a3/15  
09892169.062501  
As HMD's generally have very wide viewing angles (the angle subtended from the corners of the image to the pupil of the viewer's eye) the image resolution becomes a very important factor. Currently, CRT technologies (particularly monochrome CRT's) offer the highest resolutions. Unfortunately they tend to be rather bulky devices and require high voltages and have a relatively high power consumption. It is possible to optically combine several monochrome display devices to form a full colour image. Such techniques are well established and are known to those experienced in the field. Utilizing such techniques it is possible to achieve high resolutions and full colour, however bulkiness and weight of the resulting display device is often too great for a helmet mounted application.

sub a4/25  
LCD panels overcome many of these problems by virtue of their compact size and low power consumption. However they traditionally have several disadvantages from CRT's. The first of these is that their resolution is significantly lower than state of the art CRT's and their colour saturation is also significantly less than the corresponding CRT type display. This results in a reduced colour space (as shown in fig 37). Traditionally LCD's have also had a significantly slower response time (time taken for a pixel to change from 10% to 90% brightness or vice versa) Which has been a problem for use with rapidly

changing images, however the newer LCD technologies such as TFT and dual active scan LCDs have effectively addressed these problems.

The most significant single problem now facing designers of HMDs is that of resolution.

5 Most current low-medium performance HMD's utilize 0.7" colour LCD's. These offer a resolution of approximately 180,000 pixels (red green and blue pixels counted separately). This means that the display is capable of approximately 60,000 colour picture elements. HMD's of this quality display relatively poor images and result in a significantly diminished virtual reality (VR) effect. Improved technology in recent years is resulting in a new generation of compact high resolution LCD panels that offer significant advantages over these earlier designs. It is now possible to purchase compact colour LCD panels with resolutions as high as 640\*480 colour triads (colour picture elements). This is equivalent to a pixel count of approximately 920,000. However at present these displays are very expensive resulting in HMD's utilizing these LCD panels to be priced well outside the general computer/gaming market.

Even at these resolutions the viewing angle is often still reasonably limited which results in a reduced visual impact of the HMD. Other solutions to this problem which have been attempted are optical fibre display's and direct retinal scanning. The first approach utilizes a bunch of optical fibers to optically couple a high resolution image from a relatively bulky remote image source to the HMD. This makes possible a display with a colour pixel count in the 1,000,000 region whilst retaining a lightweight HMD. Unfortunately this type of display tends to be extremely expensive and although technically feasible is priced way out of the general computer/gaming market. The second approach is very new and at the present time would seem to be still at the theoretical/early prototype stage. The basic approach is to scan a coloured beam of light (probably consisting of three concentric beams of red, green and blue light) directly onto the retina of the viewer's eye thereby rendering an image. The approach seems to have many merits. The first and foremost being that it is theoretically possible to achieve high resolutions. In addition, if micro LASERS or LEDs are used as the light source then (by

virtue of their high colour purity) a much enhanced colour space is achievable. Theoretically the colour pace of such a display could be significantly superior to that of a CRT type display. Although theoretically attractive, this approach has several major technical hurdles that have to be overcome for the device to become a commercial success. It would appear that, at this stage these technical hurdles are the generation of sufficiently compact light sources that can produce a collimated full colour scanable light beam and the generation of a very compact high speed optical scanning apparatus for scanning the said beam of light across the viewer's retina.

10  
a7  
PRESENT INVENTION:  
a7

15 An alternative approach that I have developed is a "mid-ground" between the two approaches. It is possible to utilize a Micro-Mirror-Device such as those produced by Texas Instruments to direct monochrome light from a compact source through a series of lenses, mirrors, or a combination of both, to the eyes of a viewer to produce a high resolution colour image. Further, it is possible by changing the colour of the monochrome light and by projecting the image as a sequence of the red green and blue (or other acceptable optical primaries such as orange, green and blue) components of the image to produce a full colour image with a colour resolution equivalent to the resolution of the digital micro-mirror device (DMD) i.e. the apparent pixel resolution will be three times as great as the actual resolution of the DMD chip. This configuration has all of the advantages of both of the previous HMD display technologies. Firstly the optical system is relatively simple and requires none of the complex active components that comprise the scanning device of the direct retinal display. However the DMD technology can offer significantly higher resolutions than the LCD technology. DMD chips have been fabricated with resolutions close to the 2,000,000 pixel mark, which would result in an equivalent HMD resolution of 6,000,000 pixels per eye. The DMD chips are very compact and readily lend themselves to incorporation into a HMD shell. In addition, the purity of the light source bounced off the DMD is entirely independent of the DMD chip.

20  
25  
30

09892169-062501  
T05290-99T2880  
Sub  
a8

Thus it is possible by using LED or LASER light sources to achieve an optimal colour space that could easily surpass CRT type displays (see fig 37).

There are many reasons why this design is the preferred implementation.. Firstly it offers the best features of all previous HMD technologies, secondly it is proven technology and thirdly by virtue of the DMD fabrication techniques, it lends itself towards the VR environment better than any other display technology. An example of this is shown in fig 35. As can be seen, it is possible to produce several DMD arrays on a single chip. Ordinarily this configuration would be unacceptable for video projection as it would produce dark lines at the interstices of the DMD arrays. However in the case of VR the surrounding display regions fall into the peripheral vision part of the viewer's eye's and thus the viewer is relatively insensitive to the image discontinuity.

#### THE DIGITAL MICRO-MIRROR ARRAY:

A micro-mirror array consists of a large number of tiny square mirrors which are pivoted at the corners as shown in fig 1. The mirrors can be tilted approximately  $\pm 10$  degrees by applying the appropriate control signals to the digital micro-mirror (DMM) device. The angle of tilt of the mirror is digital in nature (hence the name digital micro mirror device) that is to say, the mirror can be either in the  $+10$  or  $-10$  degree tilt but not in any position in between. Analogue control of the light level of the red, green and blue components for each pixel is achieved by varying the time in which the mirror stays in each of the two states (i.e. angle of tilt). One of the states (phase 1) is the active state, i.e. when the mirror is in this state, light will be reflected into the viewing optics of the HMD. The other state (phase 2) is the rest state in which light is reflected away from the viewing optics. By altering the proportion of time that each mirror in the DMM stays in one of the two states it is possible to simulate any analogue level from zero to 100 percent. It should be noted however that the break down of time allocated to each of the primary colours does not necessarily follow that defined in the colour standards. This is because the time

09892169-062501  
T0490-97880

allocation is affected by the relative brightness of the component light sources used to illuminate the DMD. For example, if the red light source was proportionately brighter than the green and blue light sources as may be the case for an incandescent light source then the colour can be corrected by simply reducing the proportion of time that is allocated to displaying the red component of the image. This characteristic is of great benefit to the designer because it makes possible an optimization of the display to the available light source. This is particularly important if LEDs are to be used as the light source as the blue LEDs tend to have a lower intensity than their red and green counterparts. There are of course limits to how far the time distribution can be skewed. These limits are defined mainly by the traverse rate or response time of the DMM array. At present each mirror can respond in approximately 20 microseconds. During this period of transition the mirror is an indeterminate state and may or may not contribute to the image brightness of the given pixel. During this period the mirror can be considered as providing optical noise (uncertainty) to the image. Given that there are two transitions per colour period. The colour resolution (number of quantizing levels) for each colour can be determined from the amount of time available for each colour display "field" and the response time of the DMD. Obviously if each colour is to be allocated the same number of quantizing levels there should be an equal time allocated to each of the primary colours. In such a case the total time for the image "frame" would comprise of three equal "colour field" periods. This optimal situation is not achievable if there is also a delay in changing the light source from one colour to the next, as is the case in DMM projectors utilizing colour wheels. In this case there is also a "dead period" in which the DMM array sends all the mirrors to the phase 2 state until the colour transition has completed. This "dead period" can be eliminated by utilizing light sources such as LEDs or LASERS which have very fast switching times.

The primary advantage that DMM technology has over LCD and CRT technologies is that there is a total absence persistence of image. I.e. as soon as the time period for each colour ends there is no trace of the previous image. This characteristic makes possible the integration of the three monochrome images into a full colour image. In addition, this

characteristic also makes possible the generation of two separate images from a single DMD. The generation of multiple images from a single DMD is possible without degrading the spatial resolution of the images. However as there is only a finite "window of time" available to complete the six colour images (left image red, green and blue then Right red, green and blue) the multiplexing of the two images (left and right) will result in either a reduction in the refresh rate of the display or a reduction in the colour resolution. With the present DMM technology, the multiplexing of two images from a single DMD will result in reducing the colour resolution from 8 bits per colour (24 bits total) to 7 bits per colour (21 bits total) or a reduction of the refresh rate from 60 frames per second to 30 frames per second). Of the two alternatives, a reduction in colour resolution is probably the preferred choice as a 30 frame per second image flicker is perceivable by the human eye. This drawback is only a temporary consideration as improvements in the response speed of the DMM devices will widen the colour resolution accordingly.

As the DMD chips are relatively expensive, reducing the requirement from two devices per HMD to a single device offers great advantages to the manufacturer. Several single chip DMD HMD designs are shown in figs 7-14 and figs 17-24. As can be seen in fig 4, by virtue of the diagonal hinge arrangement of the DMD the reflected image follows a line at substantially 45 degrees to the base of the DMD chip. As a result, the two image phases are vertically as well as laterally displaced. This can be advantageous because if two separate light sources (each containing the three primary colours) are positioned such that they are in the same vertical plane but displaced above and below the optical axis of the DMD as shown in figs 5-6 then the resultant reflected images from the DMD will be such that a separate image from each light source will result in the same horizontal optical plane with its counterpart image being substantially displaced from the said horizontal optical plane. It then becomes possible by the correct synchronization and switching of the two light sources to produce two separate images that pass through separate optical components to the viewer's eyes. The resultant images will then be



perceived by the viewer as originating at some distance outside of the HMD. Several different configurations of this design are shown in figs 7-14 and figs 17-24

## 5 MIRROR DESIGNS:

sub  
a14/10

09092169.062501

15

20

25

The basic design of a mirror HMD relies on a light source bouncing light directly off the DMD. The reflected light then strikes a concave mirror either directly or by first bouncing off one or more plain mirrors. The concave mirror can be either totally or partially silvered. If a partially silvered mirror is utilized it is possible to overlay the virtual graphics over the real world. This technique is not possible with a simple lens based system because the two images have different focal distances. In all cases the final image is viewed directly from the concave mirror. These designs are outlined in figs 7-14. Most of the illustrations show variations designed to make the HMD more compact. This is an important consideration because the horizontal deviation angle of the two reflected images (phase 1A, phase 2B) as shown in fig 5 is relatively small (approximately 14 degrees). As a result the required distance for the two reflected images (phase 1A, phase 2B) to separate the required 65 degrees (average inter-ocular displacement) is relatively long. As can be seen in fig 9 the introduction of an additional plane mirror/mirrors reduces this distance to half. The enhancements shown in figs 11-12 are aimed at reducing the obstruction to the viewer eyes and removing a spatial conflict between the light sources and the DMD. The optimal embodiment of the mirror HMD is shown in fig 14. The utilization of the partially silvered mirror in the configuration shown in fig 14 makes the HMD more compact and makes possible the use of larger light sources which in turn enlarge the size of the region within which the viewer can effectively see a clear image (i.e. larger inter-ocular acceptance region).

sub  
a15/30

The 2-stage dual mirror hybrid HMD shown in fig 17 is a combination of lens and mirror technologies. This design overcomes a size requirement problem of the secondary mirror that is encountered with the two stage mirror designs of figs 9 -14.

However the introduction of the second set of curved mirrors may introduce additional optical distortion.

5 DESIGN CONSIDERATIONS:

sub 9/16/ 10 The mirror design HMDs have other advantages in addition to reducing the number of required DMD chips to one per HMD. The first is that mirrors do not suffer from chromatic aberration as do single element lenses and although more complex lenses such as achromatic doublets can significantly overcome these problems they then become at least moderately expensive items that add to the cost of the overall system. In addition, it has been found that the proximity of the lens to the viewer's eye causes significant problems such as fogging of the optics (due to moisture from the viewer's eyes and face). This problem has not been found to be significant when mirrors are used, due to the greater distance between the viewer's eyes and the mirror surface. This greater proximity may also have significant safety implications to the eye's of the viewer if the HMDs are to be used in environments where there is a risk of the operator falling or the occurrence of a collision between the HMD and another object.

09892169 .062501 15 20 Although optimal in many regards, the mirror designs do have the disadvantage that they tend to be more bulky than a more conventional lens based optical design. In addition it is more difficult to attain a wide viewing angle with mirror based designs than it is with the lens based designs. Then at the sacrifice of these problems I have outlined the basic design of several configurations of lens based HMDs. These are shown in figs 15,16 and 25 figs 18-24. The designs shown in Figs 15 and 16 require two DMD chips per HMD however those shown in figs 18-24 produces two separate images from a single DMD as in the mirror designs. The dual DMD designs tend to be more compact but image quality and viewing angle are similar for both designs.

sub 9/17/

Sub 118

The hybrid HMD designs as shown in figs 15, 17 and fig.'s 20-24 utilize a focusing lens (or lens combination) positioned directly in front of the DMD to bring the reflected light from the DMD to a focus point. This has the advantage of reducing the required size of the secondary mirror (in the case of the design shown in fig 17) or focusing lens (in the case of the design shown in figs 21-23). In the case of the design shown in fig. 15 these focusing optics serve to reduce the size requirement of the eyepiece. In the case of the design shown in fig. 19 this focusing lens has been replaced by a concave mirror. Although this has the advantage of removing any possibility of chromatic aberration it produces a configuration that is not as compact as that utilizing lenses.

10

Sub 119

One of the disadvantages of a lens based design is the requirement for inter-ocular adjustment. However as shown in fig 18 and figs 21-22 this can be readily implemented by adjusting the spacing between the off-axis focusing lenses in a plane substantially perpendicular to the optical axis of the focused light. It should be noted that the focusing lenses have a preferred design which has the optical axis of the lens shifted towards the inner edge of the lens as shown in fig 18 and figs 21-22. This has the added effect of altering the center line of the focused light from a diverging angle to a substantially parallel line. This is a requirement to reduce eye strain. I.e. ideally the viewer should perceive the image as originating from some distance in front of the HMD, in which the light rays from a distant object will be substantially parallel upon entering the viewer's eyes.

15

20

Sub 120

The lens HMD enhancements shown in figs 23-24 are concerned with removing the viewing obstruction produced by the DMD. Although in later designs this may become a serious consideration, the present DMDs are sufficiently compact to produce a practical HMD utilizing the design shown in fig 22.

25

30

## ILLUMINATION:

sub A21

5 The light source used in conjunction with the DMD is a vital component of the overall display system. It must be capable of producing the three primary colours of light (green, blue and red or orange) with both high purity and also have the ability to switch rapidly between them. LED and LASER light sources are ideal as they both produce very pure light and also have very fast switching times. Of the two LEDs are the preferred option as they are more compact and are simpler to drive than LASERS. The only disadvantage with the use of LEDs is that the overall radiant light output is relatively low in comparison to other more conventional light sources such as tungsten filament lamps. It is possible to utilize such older technologies (like halogen and krypton lamps) if appropriately coloured filters or dichroic filters are placed in the optical path. Such filters can be either dynamic (in the form of a colour wheel or cylinder) as shown in figs 25-28 or static as shown in fig 32 and used in conjunction with other switching elements such as high speed LCD shutters (such as ferro-electric shutters) In addition to these configurations it is possible to utilize fast response colour LCD panels to act as a programmable filter, as shown in fig 30. There are many other potential filter configurations that are known to those skilled in the field. In addition to the use of filament type light sources there are several types of gas discharge lamps that can be used to good effect. These can have either colour phosphors with the appropriate primary colours or can produce white light and be fitted with colour filters of the appropriate colours. The advantages of these type of lamps is that they have fast response times and can be rapidly switched. The disadvantages are that they generally require high voltages and are significantly more bulky than a corresponding solid state lamp such as a LED.

10  
15  
20  
25

sub A22

30 It should be noted that although the light sources are depicted in the illustrations as being point sources, it is in fact favorable for the sources of light to have a finite size. The reason for this design detail is evident if one follows the path of the light rays through the optical components to the viewer's eye. In the case of the mirror design, the curvature of the concave mirrors are chosen such that light from the light source (assume a point

source for simplicity) will come to a focus at the viewer's eyes. This is a requirement for consistency of the image brightness because, unlike a diffusely illuminated object, each point on the DMD is illuminated by only a single ray of light from the light source. Thus for the image to be viewable in its entirety, every ray of light that reflects off the DMD surface must enter the eye of the viewer. As the pupil of the viewer is only in the order of 5 mm, this is only possible if the light rays all come to a focus at the viewer's eye. The disadvantage of this focusing of the light is that if the viewer's eye is not positioned exactly at the focus point then the image will not be viewable in its entirety. This problem is easily solvable by making the light source of a finite size. This finite size light source can be thought of as a cluster of tightly packed point light sources each generating a pattern of light rays that come to a focus point in a slightly different location. Thus if this "diffuse" light source is used, the entire image will be viewable at a number of points which will themselves form a pattern of identical shape as the light source but whose size is the inverse of the magnification factor of the mirror assembly. I.e. (size of the viewable region)=(size of light source)\*(distance between mirror and focus point of the light source)/(distance between light source and mirror). The size of the light sources can be increased with a corresponding improvement in the size of the viewable region until the resultant reflected images as shown in fig 5 begin to overlap at which point the DMD will be unable to switch the incident light correctly. Therefore it should be possible, with the correct optical design to generate a HMD which has such a wide viewable region that there is no requirement for inter-ocular adjustment (i.e. adjustment for the spacing between the eyes). This feature would make the HMD significantly easier to configure for an unknown viewer, a particularly important feature for use in the public entertainment industry.

25

#### ENHANCEMENTS:

In addition to the improved resolution of the DMD over LCD technology, there are also a number of optical techniques that can be employed which will improve the apparent

09892169-062504  
TOP SECRET

resolution of the HMD. The first of these is to introduce pin-cushion distortion into the image. The result of this distortion is to cause the pixels in the center of the image to be stacked more closely together than those at the periphery of the image, see fig 36. This uneven distribution of resolution mimics the distribution of image sensors in the retina of the eye. The center region of the eye (fovea) contains the highest density of cones (colour image sensors) the surrounding area contains less cones and more rods (B/W image sensors) These rods are generally responsible for peripheral vision at a lower resolution and the cones are responsible for high resolution colour imaging. Thus it is possible, by virtue of this pin-cushion distortion, to improve the apparent overall resolution of the HMD. This distortion can be introduced optically by altering the curvature of the mirrors or lenses used in the system. It should be noted however that in addition to altering the pixel density, this optical distortion also distorts the image significantly. Thus it is necessary to provide a counter distortion (barrel distortion) electronically to the images prior to their generation. These distortions can be generated in real-time by digital processing means. The techniques for which are known to those skilled in the field.

sub 224

A second technique that can be employed to improve the apparent image resolution is to produce several different image fields. A central image field (usually at high resolution) and one or more secondary image fields at the same or lower resolution. This array of multiple fields can be achieved by either compositing the images optically (by using a partially silvered mirror or other means or by utilizing an family of DMM arrays as shown in fig 35. The incorporation of multiple micro-mirror arrays on a single chip is a proven fabrication technique. The only drawback to this technique is that there are small discontinuities produced between the arrays. This will not be a problem for this application as these image discontinuities fall outside the central image region and are thus not particularly noticeable to the viewer.

It should be noted that wherever concave mirrors are utilized in the HMD design these can be replaced by a combination of a plain mirror and a Fresnel lens. This combination

is functionally identical but is not the preferred embodiment because it introduces chromatic aberrations and other Fresnel artifacts to the image (such as shadow lines at the border of each lenslet). Additionally, when achromatic doublets are shown in the diagrams these are functionally interchangeable with single element lenses, other combinations of lenses or Fresnel lenses, although the use of achromatic doublets is the preferred implementation.

09892169.062501